

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK
MANHATTAN DIVISION

In re: §
MARK ROSS & CO. INC. § Case No. 1:08-bk-15125
§
Debtor(s) §
§

CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)

Ian J. Gazes, Chapter 7 Trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: \$0.00 (Without deducting any secured claims)	Assets Exempt: NA
Total Distributions to Claimants: \$665,345.68	Claims Discharged Without Payment: NA
Total Expenses of Administration: \$343,908.62	

3) Total gross receipts of \$1,010,227.88 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$1,010,227.88 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from Exhibit 3)	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from Exhibit 4)	NA	\$341,951.13	\$341,951.13	\$341,951.13
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from Exhibit 5)	NA	\$1,957.49	\$1,957.49	\$1,957.49
PRIORITY UNSECURED CLAIMS (from Exhibit 6)	\$0.00	\$206,764.98	\$189,301.33	\$124,754.54
GENERAL UNSECURED CLAIMS (from Exhibit 7)	\$0.00	\$7,494,343.75	\$7,508,262.48	\$540,591.14
TOTAL DISBURSEMENTS	\$0.00	\$8,045,017.35	\$8,041,472.43	\$1,009,254.30

4) This case was originally filed under chapter 7 on 12/22/2008. The case was pending for 135 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated : 03/28/2020 By : /s/ Ian J. Gazes
Trustee

STATEMENT: This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4 (a)(2) applies.

**EXHIBITS TO
FINAL ACCOUNT**

EXHIBIT 1 – GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE ¹	AMOUNT RECEIVED
ACCOUNTS RECEIVABLE/COMMISSIONS	1221-000	\$997,306.76
REFUND	1221-000	\$2,918.12
C.A. Case	1249-000	\$10,000.00
Post-Petition Interest Deposits	1270-000	\$3.00
TOTAL GROSS RECEIPTS		\$1,010,227.88

¹ The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	AMOUNT PAID
NA	NA	NA	NA
TOTAL FUNDS PAID TO DEBTOR & THIRD PARTIES			\$0.00

EXHIBIT 3 – SECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
	NA	NA	NA	NA	NA	NA
TOTAL SECURED			\$0.00	\$0.00	\$0.00	\$0.00

EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES AND CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
IAN J. GAZES (SDNY)	2100-000	NA	\$53,507.12	\$53,507.12	\$53,507.12
GAZES LLC	2300-000	NA	\$37.61	\$37.61	\$37.61
GAZES LLC	2300-000	NA	\$455.20	\$455.20	\$455.20
GAZES LLC	2300-000	NA	\$568.07	\$568.07	\$568.07
INTERNATIONAL SURETIES LTD	2300-000	NA	\$385.27	\$385.27	\$385.27
International Sureties, LTD.	2300-000	NA	\$442.11	\$442.11	\$442.11

EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES AND CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Manhattan Mini Storage LLC	2420-000	NA	\$5,000.00	\$5,000.00	\$5,000.00
Empire National Bank	2600-000	NA	\$11,921.31	\$11,921.31	\$11,921.31
EmpireNationalBank	2600-000	NA	\$24,340.13	\$24,340.13	\$24,340.13
Texas Capital Bank	2600-000	NA	\$20,914.90	\$20,914.90	\$20,914.90
Union Bank	2600-000	NA	\$717.78	\$717.78	\$717.78
NYC DEPARTMENT OF FINANCE	2690-000	NA	\$52.00	\$52.00	\$52.00
NYS CORPORATION TAX	2690-000	NA	\$50.00	\$50.00	\$50.00
COMMISSIONER OF TAXATION AND	2820-000	NA	\$389.92	\$389.92	\$389.92
NYS CORPORATION TAX	2820-000	NA	\$25.00	\$25.00	\$25.00
GARDEN CITY GROUP	2990-000	NA	\$900.00	\$900.00	\$900.00
Gazes LLC	3110-000	NA	\$115,016.50	\$115,016.50	\$115,016.50
Gazes LLC	3120-000	NA	\$3,812.03	\$3,812.03	\$3,812.03
Marcum LLP	3410-000	NA	\$80,007.00	\$80,007.00	\$80,007.00
Marcum LLP	3420-000	NA	\$23,409.18	\$23,409.18	\$23,409.18
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		NA	\$341,951.13	\$341,951.13	\$341,951.13

EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES AND CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
INTERNAL REVENUE SERVICE	6810-000	NA	\$1,957.49	\$1,957.49	\$1,957.49
TOTAL PRIOR CHAPTER ADMIN FEES AND CHARGES		NA	\$1,957.49	\$1,957.49	\$1,957.49

EXHIBIT 6 – PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
	Internal Revenue Service	5800-000	NA	\$8,464.06	\$8,464.06	\$8,464.06
	New York State Department of	5800-000	NA	\$9,259.14	\$9,259.14	\$9,259.14

EXHIBIT 6 – PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
00001A	LEE WELSH THAYER	5300-000	NA	\$9,023.81	\$5,448.34	\$2,526.73
00001A	LEE WELSH THAYER	5300-001	NA	NA	NA	\$2,921.61
00003A	STEPHEN PHEBUS	5300-000	NA	\$10,500.00	\$10,500.00	\$10,500.00
00004A	TOM TYRRELL HARRISON	5300-000	NA	\$10,950.00	\$10,950.00	\$5,078.18
00007A	PHILIP S. HILL	5300-000	NA	\$10,950.00	\$10,950.00	\$5,078.18
00007A	PHILIP S. HILL	5300-001	NA	NA	NA	\$5,871.82
00010A	BARBARA NOVAK	5800-000	NA	\$10,950.00	\$10,950.00	\$0.00
00011A	Internal Revenue Service	5800-000	NA	\$47,724.97	\$47,724.97	\$0.00
00012	Internal Revenue Service	5800-000	NA	\$0.00	\$0.00	\$0.00
00014A	NYS DEPT OF TAX & FINANCE	5800-000	NA	\$1,357.58	\$1,357.58	\$1,357.58
00015A	MICHAEL CANFORA 85-10 120TH	5300-000	NA	\$15,781.25	\$10,950.00	\$10,950.00
00017A	JULIE M. ROSNER	5300-000	NA	\$14,494.89	\$10,950.00	\$10,950.00
00018A	BARBARA NOVAK	5300-000	NA	\$10,950.00	\$10,950.00	\$10,950.00
00019	KERSTEN LARSON BAUTISTA	5300-000	NA	\$2,300.00	\$2,300.00	\$2,300.00
00020A	Mary Jo Van Dalen	5300-000	NA	\$9,782.88	\$7,695.84	\$7,695.84
00021A	ALEXANDER L. van DALEN	5300-000	NA	\$12,475.00	\$10,950.00	\$10,950.00
00023A	Peter J. Molinaro	5300-000	NA	\$12,850.00	\$10,950.00	\$10,950.00
00024A	Franchise Tax Board Bankruptcy	5800-000	NA	\$3,079.58	\$3,079.58	\$3,079.58
	Tom Tyrrell	5300-000	NA	\$5,871.82	\$5,871.82	\$5,871.82
TOTAL PRIORITY UNSECURED CLAIMS			\$0.00	\$206,764.98	\$189,301.33	\$124,754.54

EXHIBIT 7 – GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (FROM Form 6F)	CLAIMS ASSERTED (FROM Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
E-MED	Internal Revenue Service	7100-000	NA	\$32,149.38	\$32,149.38	\$2,352.82
E-FICA	Internal Revenue Service	7100-000	NA	\$137,466.30	\$137,466.30	\$10,060.34
E-SUTA	New York State Department of	7100-000	NA	\$200,102.15	\$200,102.15	\$14,644.27

EXHIBIT 7 – GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (FROM Form 6F)	CLAIMS ASSERTED (FROM Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
00001	LEE WELSH THAYER	7100-000	NA	\$0.00	\$3,575.47	\$121.34
00001	LEE WELSH THAYER	7100-001	NA	NA	NA	\$140.33
00002	JAN K. VAN DALEN	7100-000	NA	\$99,076.92	\$99,076.92	\$7,250.84
00003	STEPHEN PHEBUS	7100-000	NA	\$72,459.32	\$72,459.32	\$5,302.86
00004	TOM TYRRELL HARRISON	7100-000	NA	\$319,479.27	\$319,479.27	\$10,843.07
00005	CIT COMMUNICATIONS FINANCE	7100-001	NA	\$65,764.57	\$65,764.57	\$4,812.91
00006	BARGER & WOLEN LLP RANDALL	7100-001	NA	\$368,641.44	\$368,641.44	\$26,978.65
00007	PHILIP S. HILL	7100-000	NA	\$817,742.31	\$817,742.31	\$27,754.02
00007	PHILIP S. HILL	7100-001	NA	NA	NA	\$32,091.63
00008	WOLPERT & ASSOCIATES, P.A.	7100-000	NA	\$28,752.72	\$28,752.72	\$2,104.24
00009	MICHAEL CANFORA 85-10 120TH	7100-000	NA	\$15,781.25	\$15,781.25	\$0.00
00010	BARBARA NOVAK	7100-000	NA	\$81,190.83	\$81,190.83	\$0.00
00011	Internal Revenue Service	7100-000	NA	\$12,012.62	\$12,012.62	\$0.00
00013	Herrick Feinstein LLP	7100-000	NA	\$2,967,298.12	\$2,967,298.12	\$217,158.73
00014	NYS DEPT OF TAX & FINANCE	7100-000	NA	\$250.00	\$250.00	\$18.30
00015	MICHAEL CANFORA 85-10 120TH	7100-000	NA	\$0.00	\$4,831.25	\$353.57
00016	THOMAS REUTERS-WEST	7100-000	NA	\$19,916.50	\$19,916.50	\$1,457.57
00017	JULIE M. ROSNER	7100-000	NA	\$3,544.89	\$3,544.89	\$259.43
00018	BARBARA NOVAK	7100-000	NA	\$59,290.83	\$59,290.83	\$4,339.14
00020	Mary Jo Van Dalen	7100-000	NA	\$0.00	\$2,087.01	\$152.74
00021	ALEXANDER L. van DALEN	7100-000	NA	\$30,061.98	\$31,586.98	\$2,311.66
00022	GARY LEVEN	7100-000	NA	\$73,474.11	\$73,474.11	\$5,377.13
00023	Peter J. Molinaro	7100-000	NA	\$728,150.00	\$730,050.00	\$53,427.97
00024	Franchise Tax Board Bankruptcy	7100-000	NA	\$686.85	\$686.85	\$50.27
00025	NEW EARTH TECHNOLOGIES	7100-000	NA	\$12,146.69	\$12,146.69	\$888.94
00026	PRINCIPAL LIFE INSURANCE	7100-000	NA	\$1,336,367.00	\$1,336,367.00	\$97,800.67
	Schedule F - 206 Creditors All		NA	NA	NA	\$0.00
	Tom Tyrrell	7100-000	NA	\$12,537.70	\$12,537.70	\$12,537.70

TOTAL GENERAL UNSECURED CLAIMS	\$0.00	\$7,494,343.75	\$7,508,262.48	\$540,591.14
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FORM 1
INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT
ASSET CASES

Case No: 08-15125
Case Name: MARK ROSS & CO. INC.

Judge: Stuart M. Bernstein

Trustee Name: Ian J. Gazes
Date Filed (f) or Converted (c): 12/22/2008 (f)
341(a) Meeting Date: 12/22/2008
Claims Bar Date: 06/30/2017

For Period Ending: 03/28/2020

1	2	3	4	5	6
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/Unscheduled Values	Est Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA) / Gross Value of Remaining Assets
1. ACCOUNTS RECEIVABLE/COMMISSIONS (u)	987,845.01	995,723.76		997,306.76	FA
2. REFUND (u)	0.00	2,844.07		2,918.12	FA
3. C.A. Case (u)	0.00	10,000.00		10,000.00	FA
4. Claim against AMEX (u)	0.00	0.00		0.00	FA
INT. Post-Petition Interest Deposits (u)	0.00	Unknown		3.00	FA
Gross Value of Remaining Assets					
TOTALS (Excluding Unknown Values)	987,845.01	1,008,567.83		1,010,227.88	0.00

Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

In this involuntary case, the Debtor and its designated principal did not file schedules in this case and were uncooperative in turning over the Debtor's complete books and records. Thereafter, the Trustee was unable to locate the Debtor's principal. The Trustee's accountants prepared schedules based on incomplete books and records during which time approximately 120 potential creditors were identified. Because, these potential creditors more than likely did not receive notice of the previously established bar date, the Trustee has filed a motion for an order establishing a supplemental bar date.

TFR will be submitted on 2/7/18

Initial Projected Date of Final Report(TFR) : 12/01/2011

Current Projected Date of Final Report(TFR) : 02/26/2018

Trustee's Signature /s/Ian J. Gazes
Ian J. Gazes
4780 SW 86th Terrace
Miami, FL 33143
Phone : (212) 765-9000

Date: 03/28/2020

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UST Form 101-7
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
10/13/2016		Transfer from Empire Bank	Transfer of funds	9999-000	967,680.61		967,680.61
10/18/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	ACCOUNTS RECEIVABLE	1221-000	79.76		967,760.37
11/03/2016		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		828.75	966,931.62
11/09/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	ACCOUNTS RECEIVABLE	1221-000	36.75		966,968.37
12/05/2016		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,351.17	965,617.20
12/27/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	ACCOUNTS RECEIVABLE	1221-000	103.51		965,720.71
01/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,349.49	964,371.22
Page Subtotals					967,900.63	3,529.41	

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ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

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Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
02/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,347.60	963,023.62
02/06/2017	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	A/R	1221-000	1,520.86		964,544.48
03/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,347.47	963,197.01
04/03/2017	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	A/R	1221-000	195.46		963,392.47
04/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,345.96	962,046.51
04/25/2017	53001	GARDEN CITY GROUP 1985 MARCUS AVENUE SUITE 200 LAKE SUCCESS, NY 11042	INVOICE 4/24/17	2990-000		900.00	961,146.51
Page Subtotals					1,716.32	4,941.03	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,344.34	959,802.17
06/05/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,341.30	958,460.87
06/19/2017	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	A/R	1221-000	36.59		958,497.46
06/20/2017	53002	International Sureties, LTD. 701 POYDRAS ST. SUITE 420 NEW ORLEANS, LA 70139	Bond Premium #016030120	2300-000		442.11	958,055.35
07/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,339.39	956,715.96
08/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,336.90	955,379.06
Page Subtotals					36.59	5,804.04	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
09/05/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,335.03	954,044.03
*10/04/2017	53003	NYS CORPORATION TAX PROCESSING UNIT, P.O.BOX 22092 ALBANY , NY 12201-2092	12/31/16 FORM CT-3-S	2820-004		25.00	954,019.03
10/04/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,333.30	952,685.73
11/03/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,331.37	951,354.36
12/04/2017		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,329.45	950,024.91
01/03/2018		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,327.65	948,697.26
Page Subtotals					0.00	6,681.80	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
02/05/2018		Texas Capital Bank Treasury Management Operations 2350 Lakeside Blvd Richardson, TX 75082	Bank Service Fee	2600-000		1,325.73	947,371.53
*03/30/2018		NYS CORPORATION TAX PROCESSING UNIT, P.O.BOX 22092 ALBANY , NY 12201-2092	Stop Payment on Check 53003	2820-004		(25.00)	947,396.53
04/26/2018	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines, IA 50392	A/R	1221-000	1,657.05		949,053.58
04/27/2018	53004	INTERNAL REVENUE SERVICE CINCINNATI, OH 45999-0149	NOTCE CP504B 20161120S EIN#65-0141560	6810-000		1,957.49	947,096.09
*05/21/2018	53005	IAN J. GAZES (SDNY) 151 HUDSON STREET NEW YORK , NY 10013	Trustee's Compensation	2100-003		53,507.12	893,588.97
05/21/2018	53006	Manhattan Mini Storage LLC 520 Eighth Avenue, 19th Floor New York, NY 10018		2420-000		5,000.00	888,588.97
05/21/2018	53007	NYS CORPORATION TAX PROCESSING UNIT, P.O.BOX 22092 Albany, NY 12201-2092		2820-000		25.00	888,563.97

Page Subtotals

1,657.05

61,790.34

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

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Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018	53008	Gazes LLC 151 Hudson St New York, NY 10013		3110-000		115,016.50	773,547.47
05/21/2018	53009	Gazes LLC 151 Hudson St New York, NY 10013		3120-000		3,812.03	769,735.44
05/21/2018	53010	Marcum LLP 450 E. Las Olas Blvd. 9th Fl Ft. Lauderdale, FL 33301		3410-000		80,007.00	689,728.44
05/21/2018	53011	Marcum LLP 450 E. Las Olas Blvd. 9th Fl Ft. Lauderdale, FL 33301		3420-000		23,409.18	666,319.26
05/21/2018	53012	NYS DEPT OF TAX & FINANCE BANKRUPTCY SECTION PO Box 5300 Albany, NY 12205	Disb of 100.00% to Claim #00014A	5800-000		1,357.58	664,961.68
05/21/2018	53013	Franchise Tax Board Bankruptcy Section MS A340 PO Box 2952 Sacramento, CA 95812	Disb of 100.00% to Claim #00024A	5800-000		3,079.58	661,882.10

Page Subtotals

0.00

226,681.87

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018		Internal Revenue Service PO Box 60 Brooklyn, NY 11202	Disb of 100.00% to Claim # Employer matching taxes			8,464.06	653,418.04
			ALEXANDER L. van DALEN (SocSec Employer) (256.49)	5800-000			
			ALEXANDER L. van DALEN (Medicare Employer) (158.78)	5800-000			
			ALEXANDER L. van DALEN (Fed Employer) (65.70)	5800-000			
			BARBARA NOVAK (SocSec Employer) (544.99)	5800-000			
			BARBARA NOVAK (Medicare Employer) (158.78)	5800-000			
			BARBARA NOVAK (Fed Employer) (65.70)	5800-000			
			JULIE M. ROSNER (SocSec Employer) (544.99)	5800-000			
			JULIE M. ROSNER (Medicare Employer) (158.78)	5800-000			
			JULIE M. ROSNER (Fed Employer) (65.70)	5800-000			
			KERSTEN LARSON BAUTISTA (SocSec Employer) (142.60)	5800-000			
			KERSTEN LARSON BAUTISTA (Medicare Employer) (33.35)	5800-000			
			KERSTEN LARSON BAUTISTA (Fed Employer) (13.80)	5800-000			
			LEE WELSH THAYER (SocSec Employer) (337.80)	5800-000			
Page Subtotals					0.00	8,464.06	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			LEE WELSH THAYER (Medicare Employer) (79.00)	5800-000			
			LEE WELSH THAYER (Fed Employer) (32.69)	5800-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (SocSec Employer) (678.90)	5800-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Medicare Employer) (158.78)	5800-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Fed Employer) (65.70)	5800-000			
			Mary Jo Van Dalen (SocSec Employer) (477.14)	5800-000			
			Mary Jo Van Dalen (Medicare Employer) (111.59)	5800-000			
			Mary Jo Van Dalen (Fed Employer) (46.18)	5800-000			
			PHILIP S. HILL (SocSec Employer) (678.90)	5800-000			
			PHILIP S. HILL (Medicare Employer) (158.78)	5800-000			
			PHILIP S. HILL (Fed Employer) (65.70)	5800-000			
			Peter J. Molinaro (SocSec Employer) (678.90)	5800-000			
Page Subtotals					0.00	8,464.06	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			Peter J. Molinaro (Medicare Employer) (158.78)	5800-000			
			Peter J. Molinaro (Fed Employer) (65.70)	5800-000			
			STEPHEN PHEBUS (SocSec Employer) (651.00)	5800-000			
			STEPHEN PHEBUS (Medicare Employer) (152.25)	5800-000			
			STEPHEN PHEBUS (Fed Employer) (63.00)	5800-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (SocSec Employer) (678.90)	5800-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Medicare Employer) (158.78)	5800-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Fed Employer) (755.93)	5800-000			
05/21/2018	53014	New York State Department of Labor 12 Room 256 Albany Albany, NY 12240	Disb of 100.00% to Claim # Employer matching taxes			9,259.14	644,158.90
			ALEXANDER L. van DALEN (State Employer) (841.74)	5800-000			
			BARBARA NOVAK (State Employer) (841.74)	5800-000			
Page Subtotals					0.00	17,723.20	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			JULIE M. ROSNER (State Employer) (841.74)	5800-000			
			KERSTEN LARSON BAUTISTA (State Employer) (207.58)	5800-000			
			LEE WELSH THAYER (State Employer) (491.71)	5800-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (State Employer) (988.24)	5800-000			
			Mary Jo Van Dalen (State Employer) (694.55)	5800-000			
			PHILIP S. HILL (State Employer) (988.24)	5800-000			
			Peter J. Molinaro (State Employer) (988.24)	5800-000			
			STEPHEN PHEBUS (State Employer) (947.62)	5800-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (State Employer) (1,427.74)	5800-000			
05/21/2018		Internal Revenue Service	Disb of 7.32% to Claim #E-FICA	7100-000		10,060.34	634,098.56
05/21/2018		Internal Revenue Service	Disb of 7.32% to Claim #E-FUTA	7100-000		973.58	633,124.98

Page Subtotals

0.00

20,293.06

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018		Internal Revenue Service	Disb of 7.32% to Claim #E-MED	7100-000		2,352.82	630,772.16
05/21/2018	53015	New York State Department of Labor	Disb of 7.32% to Claim #E-SUTA	7100-000		14,644.27	616,127.89
*05/21/2018	53016	CIT COMMUNICATIONS FINANCE CORPORATION DBA AVAYA FINANCIAL SERVICES ETC. 1 Cit Dr Ste 4204 Livingston, NJ 07039	Disb of 7.32% to Claim #00005	7100-004		4,812.91	611,314.98
*05/21/2018	53017	BARGER & WOLEN LLP RANDALL DOCTOR, ESQ. DAVID J. MCMAHON, ESQ. 650 California St Fl 9 San Francisco, CA 94108	Disb of 7.32% to Claim #00006	7100-004		26,978.65	584,336.33
05/21/2018	53018	WOLPERT & ASSOCIATES, P.A. 7315 SW 87th Ave Ste 200 Miami, FL 33173	Disb of 7.32% to Claim #00008	7100-000		2,104.24	582,232.09
05/21/2018	53019	Herrick Feinstein LLP 2 Park Ave New York, NY 10016	Disb of 7.32% to Claim #00013	7100-000		217,158.73	365,073.36
05/21/2018	53020	NYS DEPT OF TAX & FINANCE BANKRUPTCY SECTION PO Box 5300 Albany, NY 12205	Disb of 7.32% to Claim #00014	7100-000		18.30	365,055.06

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0.00

268,069.92

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018	53021	THOMAS REUTERS-WEST 610 Opperman Dr Eagan, MN 55123	Disb of 7.32% to Claim #00016	7100-000		1,457.57	363,597.49
05/21/2018	53022	Franchise Tax Board Bankruptcy Section MS A340 PO Box 2952 Sacramento, CA 95812	Disb of 7.32% to Claim #00024	7100-000		50.27	363,547.22
05/21/2018	53023	NEW EARTH TECHNOLOGIES DBA MOUNTAIN MEDIA 28 Clinton St Ste 1 Saratoga Springs, NY 12866	Disb of 7.32% to Claim #00025	7100-000		888.94	362,658.28
05/21/2018	53024	PRINCIPAL LIFE INSURANCE COMPANY ATTN: LINDA NENNING: LAW DEPARTMENT 711 High St Des Moines, IA 50392	Disb of 7.32% to Claim #00026	7100-000		97,800.67	264,857.61
*05/21/2018	53025	LEE WELSH THAYER PO BOX 402 LAKE FOREST, IL 60045	Gross: \$5,448.34 Fed: \$1,525.54 SocSec: \$337.80 Medicare: \$79.00 State: \$373.21 Local: \$211.18	5300-004		2,921.61	261,936.00
05/21/2018	53026	STEPHEN PHEBUS 45 E End Ave Apt 5E New York, NY 10028	Gross: \$10,500.00 Fed: \$2,940.00 SocSec: \$651.00 Medicare: \$152.25 State: \$719.25 Local: \$406.98	5300-000		5,630.52	256,305.48

Page Subtotals

0.00

108,749.58

UST Form 101-7
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
*05/21/2018	53027	TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 402 W Broadway Fl 29 San Diego, CA 92101	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-004		5,871.82	250,433.66
*05/21/2018	53028	PHILIP S. HILL 315 E 68th St New York, NY 10065	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-004		5,871.82	244,561.84
05/21/2018	53029	MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-000		5,871.82	238,690.02
05/21/2018	53030	JULIE M. ROSNER 143 W 96th St Apt 12B New York, NY 10025	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-000		5,871.82	232,818.20
05/21/2018	53031	BARBARA NOVAK 10 Tuxedo Rd Glen Ridge, NJ 07028	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-000		5,871.82	226,946.38
05/21/2018	53032	KERSTEN LARSON BAUTISTA 16 Van Ethel Dr Matawan, NJ 07747	Gross: \$2,300.00 Fed: \$644.00 SocSec: \$142.60 Medicare: \$33.35 State: \$157.55 Local: \$89.15	5300-000		1,233.35	225,713.03
05/21/2018	53033	Mary Jo Van Dalen 15 Goldenwood Trl Mills River, NC 28759	Gross: \$7,695.84 Fed: \$2,154.84 SocSec: \$477.14 Medicare: \$111.59 State: \$527.17 Local: \$298.29	5300-000		4,126.81	221,586.22

Page Subtotals

0.00

34,719.26

FORM 2
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ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018	53034	ALEXANDER L. van DALEN 15 Goldenwood Trl Mills River, NC 28759	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-000		5,871.82	215,714.40
05/21/2018	53035	Peter J. Molinaro 8 Brighton Ct Loudonville, NY 12211	Gross: \$10,950.00 Fed: \$3,066.00 SocSec: \$678.90 Medicare: \$158.78 State: \$750.08 Local: \$424.42	5300-000		5,871.82	209,842.58
*05/21/2018	53036	LEE WELSH THAYER PO Box 402 Lake Forest, IL 60045	Gross: \$261.67 Fed: \$73.27 SocSec: \$16.22 Medicare: \$3.79 State: \$17.92 Local: \$10.14	7100-004		140.33	209,702.25
05/21/2018	53037	JAN K. VAN DALEN 13 Essex Ln Palm Coast, FL 32164	Gross: \$7,250.84 Fed: \$2,030.24 SocSec: \$449.55 Medicare: \$105.14 State: \$496.68 Local: \$281.04	7100-000		3,888.19	205,814.06
05/21/2018	53038	STEPHEN PHEBUS 45 E End Ave Apt 5E New York, NY 10028	Gross: \$5,302.86 Fed: \$1,484.80 SocSec: \$328.78 Medicare: \$76.89 State: \$363.25 Local: \$205.54	7100-000		2,843.60	202,970.46
*05/21/2018	53039	TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 402 W Broadway Fl 29 San Diego, CA 92101	Gross: \$23,380.77 Fed: \$6,546.62 SocSec: \$1,449.61 Medicare: \$339.02 State: \$1,601.58 Local: \$906.24	7100-004		12,537.70	190,432.76
*05/21/2018	53040	PHILIP S. HILL 315 E 68th St New York, NY 10065	Gross: \$59,845.65 Fed: \$16,756.78 SocSec: \$3,710.43 Medicare: \$867.76 State: \$4,099.43 Local: \$2,319.62	7100-004		32,091.63	158,341.13

Page Subtotals

0.00

63,245.09

ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018	53041	MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415	Gross: \$353.57 Fed: \$99.00 SocSec: \$21.92 Medicare: \$5.13 State: \$24.22 Local: \$13.70	7100-000		189.60	158,151.53
05/21/2018	53042	JULIE M. ROSNER 143 W 96th St Apt 12B New York, NY 10025	Gross: \$259.43 Fed: \$72.64 SocSec: \$16.08 Medicare: \$3.76 State: \$17.77 Local: \$10.06	7100-000		139.12	158,012.41
05/21/2018	53043	BARBARA NOVAK 10 Tuxedo Rd Glen Ridge, NJ 07028	Gross: \$4,339.14 Fed: \$1,214.96 SocSec: \$269.03 Medicare: \$62.92 State: \$297.23 Local: \$168.19	7100-000		2,326.81	155,685.60
05/21/2018	53044	Mary Jo van Dalen 15 Goldenwood Trl Mills River, NC 28759	Gross: \$152.74 Fed: \$42.77 SocSec: \$9.47 Medicare: \$2.21 State: \$10.46 Local: \$5.92	7100-000		81.91	155,603.69
05/21/2018	53045	ALEXANDER L. van DALEN 15 Goldenwood Trl Mills River, NC 28759	Gross: \$2,311.66 Fed: \$647.26 SocSec: \$143.32 Medicare: \$33.52 State: \$158.35 Local: \$89.60	7100-000		1,239.61	154,364.08
05/21/2018	53046	GARY LEVEN 2131 Newt St Orlando, FL 32837	Gross: \$5,377.13 Fed: \$1,505.60 SocSec: \$333.38 Medicare: \$77.97 State: \$368.33 Local: \$208.42	7100-000		2,883.43	151,480.65
05/21/2018	53047	Peter J. Molinaro 8 Brighton Ct Loudonville, NY 12211	Gross: \$53,427.97 Fed: \$14,959.83 SocSec: \$3,312.53 Medicare: \$774.71 State: \$3,659.82 Local: \$2,070.87	7100-000		28,650.21	122,830.44

Page Subtotals

0.00

35,510.69

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018		Internal Revenue Service PO Box 60 Brooklyn, NY 11202	Withholdings for (Fed, Medicare, SocSec)			94,421.78	28,408.66
			ALEXANDER L. van DALEN (Fed) (3,066.00)	5300-000			
			ALEXANDER L. van DALEN (SocSec) (678.90)	5300-000			
			ALEXANDER L. van DALEN (Medicare) (158.78)	5300-000			
			BARBARA NOVAK (Fed) (3,066.00)	5300-000			
			BARBARA NOVAK (SocSec) (678.90)	5300-000			
			BARBARA NOVAK (Medicare) (158.78)	5300-000			
			JULIE M. ROSNER (Fed) (3,066.00)	5300-000			
			JULIE M. ROSNER (SocSec) (678.90)	5300-000			
			JULIE M. ROSNER (Medicare) (158.78)	5300-000			
			KERSTEN LARSON BAUTISTA (Fed) (644.00)	5300-000			
			KERSTEN LARSON BAUTISTA (SocSec) (142.60)	5300-000			
			KERSTEN LARSON BAUTISTA (Medicare) (33.35)	5300-000			
			LEE WELSH THAYER (Fed) (1,525.54)	5300-000			
Page Subtotals					0.00	94,421.78	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			LEE WELSH THAYER (SocSec) (337.80)	5300-000			
			LEE WELSH THAYER (Medicare) (79.00)	5300-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Fed) (3,066.00)	5300-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (SocSec) (678.90)	5300-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Medicare) (158.78)	5300-000			
			Mary Jo Van Dalen (Fed) (2,154.84)	5300-000			
			Mary Jo Van Dalen (SocSec) (477.14)	5300-000			
			Mary Jo Van Dalen (Medicare) (111.59)	5300-000			
			PHILIP S. HILL (Fed) (3,066.00)	5300-000			
			PHILIP S. HILL (SocSec) (678.90)	5300-000			
			PHILIP S. HILL (Medicare) (158.78)	5300-000			
			Peter J. Molinaro (Fed) (3,066.00)	5300-000			
			Peter J. Molinaro (SocSec) (678.90)	5300-000			
Page Subtotals					0.00	94,421.78	

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 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			Peter J. Molinaro (Medicare) (158.78)	5300-000			
			STEPHEN PHEBUS (Fed) (2,940.00)	5300-000			
			STEPHEN PHEBUS (SocSec) (651.00)	5300-000			
			STEPHEN PHEBUS (Medicare) (152.25)	5300-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Fed) (3,066.00)	5300-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (SocSec) (678.90)	5300-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Medicare) (158.78)	5300-000			
			ALEXANDER L. van DALEN (Fed) (647.26)	7100-000			
			ALEXANDER L. van DALEN (SocSec) (143.32)	7100-000			
			ALEXANDER L. van DALEN (Medicare) (33.52)	7100-000			
			BARBARA NOVAK (Fed) (1,214.96)	7100-000			
			BARBARA NOVAK (SocSec) (269.03)	7100-000			
			BARBARA NOVAK (Medicare) (62.92)	7100-000			
			GARY LEVEN (Fed) (1,505.60)	7100-000			
Page Subtotals					0.00	94,421.78	

ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			GARY LEVEN (SocSec) (333.38)	7100-000			
			GARY LEVEN (Medicare) (77.97)	7100-000			
			JAN K. VAN DALEN (Fed) (2,030.24)	7100-000			
			JAN K. VAN DALEN (SocSec) (449.55)	7100-000			
			JAN K. VAN DALEN (Medicare) (105.14)	7100-000			
			JULIE M. ROSNER (Fed) (72.64)	7100-000			
			JULIE M. ROSNER (SocSec) (16.08)	7100-000			
			JULIE M. ROSNER (Medicare) (3.76)	7100-000			
			LEE WELSH THAYER (Fed) (73.27)	7100-000			
			LEE WELSH THAYER (SocSec) (16.22)	7100-000			
			LEE WELSH THAYER (Medicare) (3.79)	7100-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Fed) (99.00)	7100-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (SocSec) (21.92)	7100-000			

Page Subtotals

0.00

94,421.78

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Medicare) (5.13)	7100-000			
			Mary Jo van Dalen (Fed) (42.77)	7100-000			
			Mary Jo van Dalen (SocSec) (9.47)	7100-000			
			Mary Jo van Dalen (Medicare) (2.21)	7100-000			
			PHILIP S. HILL (Fed) (16,756.78)	7100-000			
			PHILIP S. HILL (SocSec) (3,710.43)	7100-000			
			PHILIP S. HILL (Medicare) (867.76)	7100-000			
			Peter J. Molinaro (Fed) (14,959.83)	7100-000			
			Peter J. Molinaro (SocSec) (3,312.53)	7100-000			
			Peter J. Molinaro (Medicare) (774.71)	7100-000			
			STEPHEN PHEBUS (Fed) (1,484.80)	7100-000			
			STEPHEN PHEBUS (SocSec) (328.78)	7100-000			
			STEPHEN PHEBUS (Medicare) (76.89)	7100-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Fed) (6,546.62)	7100-000			
Page Subtotals					0.00	94,421.78	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (SocSec) (1,449.61)	7100-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Medicare) (339.02)	7100-000			
*05/21/2018		New York State Department of Taxation and Finance Bankruptcy Section PO Box 5300 Albany, NY 12205-0300	Withholdings for State Withholding			18,142.78	10,265.88
			ALEXANDER L. van DALEN (State) (750.08)	5300-003			
			BARBARA NOVAK (State) (750.08)	5300-003			
			JULIE M. ROSNER (State) (750.08)	5300-003			
			KERSTEN LARSON BAUTISTA (State) (157.55)	5300-003			
			LEE WELSH THAYER (State) (373.21)	5300-003			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (State) (750.08)	5300-003			
			Mary Jo Van Dalen (State) (527.17)	5300-003			
			PHILIP S. HILL (State) (750.08)	5300-003			
			Peter J. Molinaro (State) (750.08)	5300-003			
Page Subtotals					0.00	112,564.56	

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 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			STEPHEN PHEBUS (State) (719.25)	5300-003			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (State) (750.08)	5300-003			
			ALEXANDER L. van DALEN (State) (158.35)	7100-003			
			BARBARA NOVAK (State) (297.23)	7100-003			
			GARY LEVEN (State) (368.33)	7100-003			
			JAN K. VAN DALEN (State) (496.68)	7100-003			
			JULIE M. ROSNER (State) (17.77)	7100-003			
			LEE WELSH THAYER (State) (17.92)	7100-003			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (State) (24.22)	7100-003			
			Mary Jo van Dalen (State) (10.46)	7100-003			
			PHILIP S. HILL (State) (4,099.43)	7100-003			
			Peter J. Molinaro (State) (3,659.82)	7100-003			
			STEPHEN PHEBUS (State) (363.25)	7100-003			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (State) (1,601.58)	7100-003			

Page Subtotals

0.00

18,142.78

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/21/2018	53048	New York City Department of Finance 345 Adams Street Brooklyn, NY 11201	Withholdings for Local Withholding			10,265.88	0.00
			ALEXANDER L. van DALEN (Local) (424.42)	5300-000			
			BARBARA NOVAK (Local) (424.42)	5300-000			
			JULIE M. ROSNER (Local) (424.42)	5300-000			
			KERSTEN LARSON BAUTISTA (Local) (89.15)	5300-000			
			LEE WELSH THAYER (Local) (211.18)	5300-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Local) (424.42)	5300-000			
			Mary Jo Van Dalen (Local) (298.29)	5300-000			
			PHILIP S. HILL (Local) (424.42)	5300-000			
			Peter J. Molinaro (Local) (424.42)	5300-000			
			STEPHEN PHEBUS (Local) (406.98)	5300-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Local) (424.42)	5300-000			
			ALEXANDER L. van DALEN (Local) (89.60)	7100-000			
Page Subtotals					0.00	10,265.88	

ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.*1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			BARBARA NOVAK (Local) (168.19)	7100-000			
			GARY LEVEN (Local) (208.42)	7100-000			
			JAN K. VAN DALEN (Local) (281.04)	7100-000			
			JULIE M. ROSNER (Local) (10.06)	7100-000			
			LEE WELSH THAYER (Local) (10.14)	7100-000			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (Local) (13.70)	7100-000			
			Mary Jo van Dalen (Local) (5.92)	7100-000			
			PHILIP S. HILL (Local) (2,319.62)	7100-000			
			Peter J. Molinaro (Local) (2,070.87)	7100-000			
			STEPHEN PHEBUS (Local) (205.54)	7100-000			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (Local) (906.24)	7100-000			
*05/22/2018		IAN J. GAZES (SDNY) 151 HUDSON STREET NEW YORK, NY 10013	Trustee's Compensation	2100-003		(53,507.12)	53,507.12

Page Subtotals

0.00

(43,241.24)

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/22/2018	53049	GAZES LLC 151 HUDSON STREET G-FL NEW YORK , NY 10013	Trustee's Compensation	2100-000		53,507.12	0.00
*06/04/2018		TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 402 W Broadway Fl 29 San Diego, CA 92101	Stop Payment on Check 53039	7100-004		(12,537.70)	12,537.70
*06/04/2018		TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 402 W Broadway Fl 29 San Diego, CA 92101	Stop Payment on Check 53027	5300-004		(5,871.82)	18,409.52
*06/04/2018		PHILIP S. HILL 315 E 68th St New York, NY 10065	Stop Payment on Check 53028	5300-004		(5,871.82)	24,281.34
*06/04/2018		PHILIP S. HILL 315 E 68th St New York, NY 10065	Stop Payment on Check 53040	7100-004		(32,091.63)	56,372.97
*06/12/2018		BARGER & WOLEN LLP RANDALL DOCTOR, ESQ. DAVID J. MCMAHON, ESQ. 650 California St Fl 9 San Francisco, CA 94108	Stop Payment on Check 53017	7100-004		(26,978.65)	83,351.62
Page Subtotals					0.00	(29,844.50)	

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 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
*06/21/2018		LEE WELSH THAYER PO BOX 402 LAKE FOREST, IL 60045	Stop Payment on Check 53025	5300-004		(2,921.61)	86,273.23
*06/21/2018		LEE WELSH THAYER PO Box 402 Lake Forest, IL 60045	Stop Payment on Check 53036	7100-004		(140.33)	86,413.56
*07/10/2018	53050	TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 225 Cold River Boerne, TX 78006		5300-003		5,871.82	80,541.74
*07/10/2018		TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 225 Cold River Boerne, TX 78006		5300-003		(5,871.82)	86,413.56
*07/10/2018	53051	TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 225 Cold River Boerne, TX 78006		7100-003		12,537.70	73,875.86
*07/10/2018		TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP 225 Cold River Boerne, TX 78006		7100-003		(12,537.70)	86,413.56
Page Subtotals					0.00	(3,061.94)	

Pg 35 of 56
 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
07/10/2018	53052	Tom Tyrrell 225 Cold River Boerne, TX 78006		5300-000		5,871.82	80,541.74
07/10/2018	53053	Tom Tyrrell 225 Cold River Boerne, TX 78006		7100-000		12,537.70	68,004.04
*05/09/2019		CIT COMMUNICATIONS FINANCE CORPORATION DBA AVAYA FINANCIAL SERVICES ETC. 1 Cit Dr Ste 4204 Livingston, NJ 07039	Stop Payment on Check 53016	7100-004		(4,812.91)	72,816.95
05/13/2019	53054	Clerk of the Bankruptcy Court, S.D.N.Y. One Bowling Green New York, NY 10004-1408	unclaimed dividends			72,816.95	0.00
			(5,871.82)	5300-001			
			(2,921.61)	5300-001			
			(140.33)	7100-001			
			(26,978.65)	7100-001			
			(4,812.91)	7100-001			
			(32,091.63)	7100-001			

Page Subtotals

0.00

86,413.56

Pg 36 of 56
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
*08/12/2019		New York State Department of Taxation and Finance Bankruptcy Section PO Box 5300 Albany, NY 12205-0300	Withholdings for State Withholding			(18,142.78)	18,142.78
			ALEXANDER L. van DALEN (State)	750.08 5300-003			
			BARBARA NOVAK (State)	750.08 5300-003			
			JULIE M. ROSNER (State)	750.08 5300-003			
			KERSTEN LARSON BAUTISTA (State)	157.55 5300-003			
			LEE WELSH THAYER (State)	373.21 5300-003			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (State)	750.08 5300-003			
			Mary Jo Van Dalen (State)	527.17 5300-003			
			PHILIP S. HILL (State)	750.08 5300-003			
			Peter J. Molinaro (State)	750.08 5300-003			
			STEPHEN PHEBUS (State)	719.25 5300-003			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (State)	750.08 5300-003			
Page Subtotals					0.00	(18,142.78)	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			ALEXANDER L. van DALEN (State) 158.35	7100-003			
			BARBARA NOVAK (State) 297.23	7100-003			
			GARY LEVEN (State) 368.33	7100-003			
			JAN K. VAN DALEN (State) 496.68	7100-003			
			JULIE M. ROSNER (State) 17.77	7100-003			
			LEE WELSH THAYER (State) 17.92	7100-003			
			MICHAEL CANFORA 85-10 120TH STREET #411 KEW GARDENS, NY 11415 (State) 24.22	7100-003			
			Mary Jo van Dalen (State) 10.46	7100-003			
			PHILIP S. HILL (State) 4,099.43	7100-003			
			Peter J. Molinaro (State) 3,659.82	7100-003			
			STEPHEN PHEBUS (State) 363.25	7100-003			
			TOM TYRRELL HARRISON PATTERSON O'CONNOR & KINKEAD LLP (State) 1,601.58	7100-003			

Page Subtotals

0.00

(18,142.78)

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
08/12/2019	53055	New York State Department of Taxation and Finance Bankruptcy Section PO Box 5300 Albany, NY 12205-0300	Withholding for State Withholding			18,142.78	0.00
			(750.08)	5300-000			
			(750.08)	5300-000			
			(750.08)	5300-000			
			(157.55)	5300-000			
			(373.21)	5300-000			
			(527.17)	5300-000			
			(750.08)	5300-000			
			(750.08)	5300-000			
			(750.08)	5300-000			
			(719.25)	5300-000			
			(750.08)	5300-000			
			(158.35)	7100-000			
Page Subtotals					0.00	18,142.78	

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 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Texas Capital Bank

Account Number/CD#: *****2217

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
			(297.23)	7100-000			
			(368.33)	7100-000			
			(496.68)	7100-000			
			(17.77)	7100-000			
			(17.92)	7100-000			
			(10.46)	7100-000			
			(24.22)	7100-000			
			(3,659.82)	7100-000			
			(4,099.43)	7100-000			
			(363.25)	7100-000			
			(1,601.58)	7100-000			

Page Subtotals 0.00 18,142.78

COLUMN TOTALS	971,310.59	971,310.59
Less: Bank Transfer/CD's	967,680.61	0.00
SUBTOTALS	3,629.98	971,310.59
Less: Payments to Debtors		0.00
Net	3,629.98	971,310.59

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
06/20/2012		Trsf In From Union Bank	INITIAL WIRE TRANSFER IN	9999-000	52,712.93		52,712.93
07/26/2012	[1]	PRINCIPAL LIFE INSURANCE, CO	ACCOUNTS RECEIVABLE	1221-000	97.63		52,810.56
08/17/2012	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	76.98		52,887.54
10/02/2012	1001	GAZES LLC 151 HUDSON STREET G-FL NEW YORK , NY 10013	BOND PREMIUM BLANKET BOND#016030120	2300-000		37.71	52,849.83
11/08/2012	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	218.70		53,068.53
01/04/2013	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	90.85		53,159.38
01/23/2013	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	31.14		53,190.52
02/26/2013	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	1,600.93		54,791.45
05/08/2013	[1]	PRINCIPAL LIFE INSURANCE CO,	ACCOUNTS RECEIVABLE	1221-000	54.92		54,846.37

Page Subtotals

54,884.08

37.71

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
09/16/2013	1002	GAZES LLC 151 HUDSON STREET G-FL NEW YORK , NY 10013	BOND PREMIUM BOND#016030120 06/19/13-06/19/14	2300-000		34.11	54,812.26
09/27/2013	[1]	PRINCIPAL LIFE INSURANCE COMPANY, I	ACCOUNTS RECEIVABLE	1221-000	45.63		54,857.89
02/14/2014	[1]	PRINCIPAL LIFE INSURANCE CO, INC.	ACCOUNTS RECEIVABLE	1221-000	131.95		54,989.84
05/06/2014	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	44.24		55,034.08
05/06/2014	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	25.32		55,059.40
05/06/2014	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	316.60		55,376.00
05/15/2014	[1]	AMERICAN GENERAL LIFE INSURANCE CO,	ACCOUNTS RECEIVABLE	1221-000	947,876.47		1,003,252.47
06/02/2014		Empire National Bank	BANK SERVICE FEE	2600-000		577.49	1,002,674.98
Page Subtotals					948,440.21	611.60	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
06/17/2014	1003	GAZES LLC 151 HUDSON STREET G-FL NEW YORK , NY 10013	BOND PREMIUM BOND# 016030120 06/19/14 TO 06/19/15	2300-000		530.36	1,002,144.62
07/01/2014		Empire National Bank	BANK SERVICE FEE	2600-000		577.05	1,001,567.57
07/18/2014	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	27.32		1,001,594.89
08/01/2014		Empire National Bank	BANK SERVICE FEE	2600-000		834.65	1,000,760.24
09/02/2014		Empire National Bank	BANK SERVICE FEE	2600-000		833.97	999,926.27
10/01/2014		Empire National Bank	BANK SERVICE FEE	2600-000		833.27	999,093.00
11/03/2014		Empire National Bank	BANK SERVICE FEE	2600-000		832.58	998,260.42
12/01/2014		Empire National Bank	BANK SERVICE FEE	2600-000		831.88	997,428.54
01/02/2015		Empire National Bank	BANK SERVICE FEE	2600-000		831.19	996,597.35
Page Subtotals					27.32	6,104.95	

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
02/02/2015		Empire National Bank	BANK SERVICE FEE	2600-000		1,453.41	995,143.94
02/04/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	33.67		995,177.61
02/18/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	25.00		995,202.61
02/20/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	1,042.20		996,244.81
03/02/2015		Empire National Bank	BANK SERVICE FEE	2600-000		1,451.71	994,793.10
04/01/2015		Empire National Bank	BANK SERVICE FEE	2600-000		1,450.81	993,342.29
05/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,448.62	991,893.67
06/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,446.51	990,447.16
06/19/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	44.10		990,491.26
07/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,444.42	989,046.84

Page Subtotals

1,144.97

8,695.48

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
07/28/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	40.85		989,087.69
08/03/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,442.37	987,645.32
08/04/2015	1004	GAZES LLC 151 Hudson Street New York New , York 10013	BOND# 016030120 06/19/15- 06/19/16	2300-000		452.60	987,192.72
08/21/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	53.84		987,246.56
09/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,439.94	985,806.62
09/16/2015	1005	NYS CORPORATION TAX PROCESSING UNIT, P.O.BOX 22092 ALBANY , NY 12201-2092	FORM CT-3-S	2690-000		25.00	985,781.62
10/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,437.63	984,343.99
11/02/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,435.50	982,908.49

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94.69

6,233.04

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
11/05/2015	1006	COMMISSIONER OF TAXATION AND FINANCE NYS ASSESSMENT RECEIVABLES PO BOX 4127 NEW YORK , NY 13902-4127	ASSESSMENT ID: L-043889418-7 TAX ID#B-65-0141560-3	2820-000		389.92	982,518.57
11/06/2015	1007	NYC DEPARTMENT OF FINANCE PO BOX 3644 NEW YORK , NY 10008-3644	NOTICE 142999715100601 EIN# 65-0141560	2690-000		52.00	982,466.57
12/01/2015		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,433.09	981,033.48
12/29/2015	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	26.79		981,060.27
01/04/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,430.68	979,629.59
02/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,428.83	978,200.76
02/25/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY DES MOINES , IA 50392-0001	ACCOUNTS RECEIVABLE	1221-000	1,185.86		979,386.62
03/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,426.78	977,959.84

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1,212.65

6,161.30

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
03/07/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY Des Moines , IA 50392-0001	ACCOUNTS RECEIVABLE	1221-000	33.54		977,993.38
04/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,426.23	976,567.15
05/02/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,424.16	975,142.99
06/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,422.15	973,720.84
07/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,420.01	972,300.83
07/22/2016	1008	INTERNATIONAL SURETIES LTD SUITE 420 701 POYDRAS STREET NEW ORLEANS , LA 70139	BOND# 016030120 6/19/2016-6/19/2017	2300-000		385.27	971,915.56
08/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,417.87	970,497.69
08/11/2016	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	36.56		970,534.25
09/01/2016		EmpireNationalBank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503(b)(1), and 507(a)(2)	2600-000		1,415.34	969,118.91

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70.10

8,911.03

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 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Empire National Bank

Account Number/CD#: *****2908

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
09/15/2016	1009	NYS CORPORATION TAX PROCESSING UNIT, P.O.BOX 22092 ALBANY , NY 12201-2092	FORM CT-3-S	2690-000		25.00	969,093.91
10/03/2016		Empire National Bank	Bank Service Fee under 11 U.S.C. 330(a)(1)(B), 503 (b)(1), and 507(a)(2)	2600-000		1,413.30	967,680.61
10/13/2016		Transfer to Texas Capital Bank	Transfer of funds	9999-000		967,680.61	0.00

Page Subtotals 0.00 969,118.91

COLUMN TOTALS	1,005,874.02	1,005,874.02
Less: Bank Transfer/CD's	52,712.93	967,680.61
SUBTOTALS	953,161.09	38,193.41
Less: Payments to Debtors		0.00
Net	953,161.09	38,193.41

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
06/30/2009	[INT]	Union Bank of California	INTEREST REC'D FROM BANK Adjustment to interest paid.	1270-000	260.18		260.18
07/02/2009	[1]	METLIFE	ACCOUNTS RECEIVABLE	1221-000	260.18		520.36
07/31/2009	[INT]	Union Bank of California	Interest Rate 0.150	1270-000	0.02		520.38
08/31/2009	[INT]	Union Bank of California	Interest Rate 0.150	1270-000	0.03		520.41
09/24/2009	[INT]	Reverses Interest on 06/30/09	INTEREST REC'D FROM BANK entered in error	1270-000	(260.18)		260.23
09/29/2009	[2]	PRINCIPAL FINANCIAL GROUP, INC.	LIFE INSURANCE REFUND	1221-000	81.79		342.02
09/30/2009	[INT]	Union Bank	Interest Rate 0.150	1270-000	0.03		342.05
10/09/2009	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	189.99		532.04
10/30/2009	[INT]	Union Bank	Interest Rate 0.150	1270-000	0.05		532.09
11/16/2009	[2]	UNITED HEALTH CARE	REFUND FOR OVERPAYMENT OF HEALTH P	1221-000	2,762.28		3,294.37

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3,294.37

0.00

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
11/24/2009	[2]	PRINCIPAL LIFE INSURANCE COMPANY	REFUND	1221-000	74.05		3,368.42
11/30/2009	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	30.73		3,399.15
11/30/2009	[INT]	Union Bank	Interest Rate 0.150	1270-000	0.21		3,399.36
12/08/2009	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	79.76		3,479.12
12/31/2009	[INT]	Union Bank	INTEREST REC'D FROM BANK	1270-000	0.43		3,479.55
01/08/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	50.01		3,529.56
01/29/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.15		3,529.71
02/22/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	48.50		3,578.21
02/26/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.11		3,578.32
03/10/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	58.21		3,636.53

Page Subtotals

342.16

0.00

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
03/31/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	834.46		4,470.99
03/31/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.15		4,471.14
04/08/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	28.78		4,499.92
04/30/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.18		4,500.10
05/28/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.17		4,500.27
06/22/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	69.59		4,569.86
06/29/2010	101	GAZES LLC 32 AVENUE OF THE AMERICAS 27TH FLOOR NEW YORK , NY 10013	BOND PREMIUM 06/19/10-06/19/11 BOND#016030120	2300-000		2.60	4,567.26
06/30/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.20		4,567.46
07/30/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.18		4,567.64

Page Subtotals

933.71

2.60

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
08/31/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.19		4,567.83
09/10/2010	[1]	PRINCIPAL FINANCIAL GROUP	ACCOUNTS RECEIVABLE	1221-000	46.21		4,614.04
09/20/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	284.62		4,898.66
09/30/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.18		4,898.84
10/06/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	867.11		5,765.95
10/29/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.19		5,766.14
11/30/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.22		5,766.36
12/06/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLES	1221-000	53.70		5,820.06
12/30/2010	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	30.73		5,850.79
12/31/2010	[INT]	Union Bank	Interest Rate 0.050	1270-000	0.24		5,851.03

Page Subtotals

1,283.39

0.00

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
01/07/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	63.00		5,914.03
01/31/2011	[INT]	Union Bank	Interest Rate 0.000	1270-000	0.07		5,914.10
02/24/2011	[1]	PRINCIPAL LIFE INSURANCE	ACCOUNTS RECEIVABLE	1221-000	30.73		5,944.83
03/23/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	28.48		5,973.31
06/06/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	63.86		6,037.17
06/20/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	116.49		6,153.66
07/22/2011	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	37.56		6,191.22
08/19/2011	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	59.11		6,250.33
09/06/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	275.61		6,525.94
09/20/2011	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	32.31		6,558.25

Page Subtotals

707.22

0.00

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Taxpayer ID No: **-***1560

For Period Ending: 3/28/2020

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
09/26/2011		Union Bank	BANK SERVICE FEE	2600-000		14.75	6,543.50
09/28/2011	102	GAZES LLC 32 AVENUE OF THE AMERICAS 27TH FLOOR NEW YORK , NY 10013	BOND# 016030120 06/19/11-06/19/12	2300-000		3.50	6,540.00
10/25/2011		Union Bank	BANK SERVICE FEE	2600-000		14.75	6,525.25
11/15/2011	[1]	THE HARTFORD	ACCOUNTS RECEIVABLE	1221-000	10,036.88		16,562.13
11/15/2011	[1]	THE HARTFORD	ACCOUNTS RECEIVABLE	1221-000	7,139.01		23,701.14
11/15/2011	[1]	THE HARTFORD	ACCOUNTS RECEIVABLE	1221-000	9,741.51		33,442.65
11/15/2011	[1]	THE HARTFORD	ACCOUNTS RECEIVABLE	1221-000	8,653.15		42,095.80
11/22/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	607.60		42,703.40
11/25/2011		Union Bank	BANK SERVICE FEE	2600-000		14.75	42,688.65

Page Subtotals

36,178.15

47.75

Pg 54 of 56
 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
12/07/2011	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	79.76		42,768.41
12/22/2011	[1]	PRINCIPAL LIFE INSURANCE COMPANY	ACCOUNTS RECEIVABLE	1221-000	56.06		42,824.47
12/27/2011		Union Bank	BANK SERVICE FEE	2600-000		59.00	42,765.47
01/25/2012		Union Bank	BANK SERVICE FEE	2600-000		103.25	42,662.22
01/30/2012	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	73.97		42,736.19
02/27/2012		Union Bank	BANK SERVICE FEE	2600-000		103.25	42,632.94
03/01/2012	[1]	PRINCIPAL LIFE INSURANCE COMPANY, I	ACCOUNTS RECEIVABLE	1221-000	321.07		42,954.01
03/26/2012		Union Bank	BANK SERVICE FEE	2600-000		103.25	42,850.76
04/06/2012	[3]	KBC BANK	SETTLEMENT	1249-000	10,000.00		52,850.76
04/25/2012		Union Bank	BANK SERVICE FEE	2600-000		103.25	52,747.51

Page Subtotals

10,530.86

472.00

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)
05/04/2012	[1]	PRINCIPAL LIFE INSURANCE CO.	ACCOUNTS RECEIVABLE	1221-000	87.19		52,834.70
05/25/2012	[1]	PRINCIPAL LIFE INSURANCE CO, INC.	ACCOUNTS RECEIVABLE	1221-000	79.76		52,914.46
05/25/2012		Union Bank	BANK SERVICE FEE	2600-000		120.46	52,794.00
06/20/2012		Union Bank 1980 Saturn Street Mail Code #V03-023 Monterey Park , CA 91755	BANK FEES	2600-000		81.07	52,712.93
06/20/2012		Trsf To Empire National Bank	FINAL TRANSFER	9999-000		52,712.93	0.00

Page Subtotals 166.95 52,914.46

COLUMN TOTALS	53,436.81	53,436.81
Less: Bank Transfer/CD's	0.00	52,712.93
SUBTOTALS	53,436.81	723.88
Less: Payments to Debtors		0.00
Net	53,436.81	723.88

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 FORM 2
 ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 08-15125

Case Name: MARK ROSS & CO. INC.

Taxpayer ID No: **.***1560

For Period Ending: 3/28/2020

Trustee Name: Ian J. Gazes

Bank Name: Union Bank

Account Number/CD#: *****8698

Account Name: Checking Account

Blanket bond (per case limit): 64,217,507.00

Separate bond (if applicable): 0.00

1	2	3	4		5	6	7
Transaction Date	Check or [Refer#]	Paid To / Received From	Description of Transaction	Uniform Trans. Code	Deposits(\$)	Disbursements(\$)	Account/ CD Balance(\$)

		TOTAL-ALL ACCOUNTS	NET DEPOSITS	NET DISBURSEMENTS	ACCOUNT BALANCE
All Accounts Gross Receipts:	1,010,227.88				
All Accounts Gross Disbursements:	1,010,227.88				
All Accounts Net:	0.00				
		*****8698 Checking Account	53,436.81	723.88	
		*****2217 Checking Account	3,629.98	971,310.59	
		*****2908 Checking Account	953,161.09	38,193.41	
		Net Totals	1,010,227.88	1,010,227.88	0.00